



Item No. 21082

Joint Surface Preparation Standard

NACE No. 6/SSPC-SP 13 Surface Preparation of Concrete

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Foreword

This standard covers the preparation of concrete surfaces prior to the application of protective coating or lining systems. This standard should be used by specifiers, applicators, inspectors, and others who are responsible for defining a standard degree of cleanliness, strength, profile, and dryness of prepared concrete surfaces.

This standard was originally prepared in 1997 by NACE/SSPC Joint Task Group F on Surface Preparation of Concrete. It was reaffirmed in 2003 by NACE Specific Technology Group 04 on Protective Coatings and Linings—Surface Preparation and SSPC Group Committee C.2 on Surface Preparation. This standard is issued by NACE International under the auspices of STG 04, and by SSPC Group Committee C.2.

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Section 1: General

1.1 This standard gives requirements for surface preparation of concrete by mechanical, chemical, or thermal methods prior to the application of bonded protective coating or lining systems.

1.2 The requirements of this standard are applicable to all types of cementitious surfaces including cast-in-place concrete floors and walls, precast slabs, masonry walls, and shotcrete surfaces.

1.3 An acceptable prepared concrete surface should be free of contaminants, laitance, loosely adhering concrete, and dust, and should provide a sound, uniform substrate suitable for the application of protective coating or lining systems.

1.4 When required, a minimum concrete surface strength, maximum surface moisture content, and surface profile

range should be specified in the procurement documents (project specifications).

1.5 The mandatory requirements of this standard are given in Sections 1 to 7 as follows:

- Section 1: General
- Section 2: Definitions
- Section 3: Inspection Procedures Prior to Surface Preparation
- Section 4: Surface Preparation
- Section 5: Inspection and Classification of Prepared Concrete Surfaces
- Section 6: Acceptance Criteria
- Section 7: Safety and Environmental Requirements

1.6 Appendix A does not contain mandatory requirements.

Section 2: Definitions

Coating: See *Protective Coating or Lining System*.

Concrete: A material made from hydraulic cement and inert aggregates, such as sand and gravel, which is mixed with water to a workable consistency and placed by various methods to harden and gain strength.

Curing (Concrete): Action taken to maintain moisture and temperature conditions in a freshly placed cementitious mixture to allow hydraulic cement hydration so that potential properties of the mixture may develop.

Curing Compound (Membrane Curing Compound): A liquid that can be applied as a coating to the surface of newly placed concrete to retard the loss of water.¹

Efflorescence: A white crystalline or powdery deposit on the surface of concrete. Efflorescence results from leaching of lime or calcium hydroxide out of a permeable concrete mass over time by water, followed by reaction with carbon dioxide and acidic pollutants.²

Fin: A narrow linear projection on a formed concrete surface, resulting from mortar flowing into spaces in the form work.¹

Finish: The texture of a surface after consolidating and finishing operations have been performed.¹

Finishing: Leveling, smoothing, consolidating, and otherwise treating surfaces of fresh or recently placed concrete or mortar to produce desired appearance and service.¹

Hardener (Concrete): A chemical (including certain fluoro-silicates or sodium silicate) applied to concrete floors to reduce wear and dusting.¹

High-Pressure Water Cleaning (HP WC): Water cleaning performed at pressures from 34 to 70 MPa (5,000 to 10,000 psig).³

High-Pressure Waterjetting (HP WJ): Waterjetting performed at pressures from 70 to 210 MPa (10,000 to 30,000 psig).³

Honeycomb: Voids left in concrete due to failure of the mortar to effectively fill the spaces among coarse aggregate particles.¹

Laitance: A thin, weak, brittle layer of cement and aggregate fines on a concrete surface. The amount of laitance is influenced by the type and amount of admixtures, the degree of working, and the amount of water in the concrete.²

Lining: See *Protective Coating or Lining System*.

Placing: The deposition, distribution, and consolidation of freshly mixed concrete in the place where it is to harden.¹

Porosity: Small voids that allow fluids to penetrate an otherwise impervious material.

Protective Coating or Lining System (Coating): For the purposes of this standard, protective coating or lining systems (also called *protective barrier systems*) are bonded thermoset, thermoplastic, inorganic, organic/inorganic hy-

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brids, or metallic materials applied in one or more layers by various methods such as brush, roller, trowel, spray, and thermal spray. They are used to protect concrete from degradation by chemicals, abrasion, physical damage, and the subsequent loss of structural integrity. Other potential functions include containing chemicals, preventing staining of concrete, and preventing liquids from being contaminated by concrete.

Release Agents (Form-Release Agents): Materials used to prevent bonding of concrete to a surface.¹

Sealer (Sealing Compound): A liquid that is applied as a coating to a concrete surface to prevent or decrease the penetration of liquid or gaseous media during exposure. Some curing compounds also function as sealers.

Soundness: A qualitative measure of the suitability of the concrete to perform as a solid substrate or base for a coating or patching material. Sound concrete substrates usually exhibit strength and cohesiveness without excessive voids or cracks.

Spalling (Concrete): The development of spalls which are fragments, usually in the shape of a flake, detached from a larger mass by a blow, by the action of weather, by pressure, or by expansion within the larger mass.¹

Surface Porosity: Porosity or permeability at the concrete surface that may absorb vapors, moisture, chemicals, and coating liquids.

Surface Preparation: The method or combination of methods used to clean a concrete surface, remove loose and weak materials and contaminants from the surface, repair the surface, and roughen the surface to promote adhesion of a protective coating or lining system.

Surface Profile (Texture): Surface contour as viewed from edge.

Surface Air Voids: Cavities visible on the surface of a solid.

Section 3: Inspection Procedures Prior to Surface Preparation

3.1 Concrete shall be inspected prior to surface preparation to determine the condition of the concrete and to determine the appropriate method or combination of methods to be used for surface preparation to meet the requirements of the coating system to be applied. Inherent variations in surface conditions seen in walls and ceilings versus those in floors should be considered when choosing surface preparation methods and techniques. For example, walls and ceilings are much more likely than floors to contain surface air voids, fins, form-release agents, and honeycombs.

3.2 Visual Inspection

All concrete surfaces to be prepared and coated shall be visually inspected for signs of concrete defects, physical damage, chemical damage, contamination, and excess moisture.

3.3 Concrete Cure

All concrete should be cured using the procedures described in ACI⁽¹⁾ 308.⁴ Curing requirements include maintaining sufficient moisture and temperatures for a minimum time period. Surface preparation performed on insufficiently cured or low-strength concrete may create an excessively coarse surface profile or remove an excessive amount of concrete.

3.4 Concrete Defects

Concrete defects such as honeycombs and spalling shall be repaired. The procedures described in NACE Standard RP0390,⁵ ICRI⁽²⁾ 03730,⁶ or ACI 301⁷ may be used to ensure that the concrete surface is sound prior to surface preparation.

3.5 Physical Damage

3.5.1 Concrete should be tested for soundness by the qualitative methods described in NACE Publication 6G191⁸ or Paragraph A1.4.3.

3.5.2 When qualitative results are indeterminate, or when a quantitative result is specified, concrete shall be tested for surface tensile strength using the methods described in Paragraph A1.6.

3.5.3 Concrete that has been damaged because of physical forces such as impact, abrasion, or corrosion of reinforcement shall be repaired prior to surface preparation if the damage would affect coating performance. Repairs should be made in accordance with ACI 301,⁷ NACE Standard RP0390,⁵ or Paragraph A1.4.

3.6 Chemical Damage

3.6.1 Concrete is attacked by a variety of chemicals, as detailed in ACI 515.1R⁹ and PCA⁽³⁾ IS001.¹⁰

⁽¹⁾ American Concrete Institute International (ACI), 38800 International Way, Country Club Drive, Farmington Hills, MI 48331.

⁽²⁾ International Concrete Repair Institute (ICRI), 3166 S. River Road, Suite 132, Des Plaines, IL 60018.

⁽³⁾ Portland Cement Association (PCA), 5420 Old Orchard Rd., Skokie, IL 60077.

3.6.2 All concrete surfaces that have been exposed to chemicals shall be tested and treated for contamination as described in Paragraph 3.7.

3.6.3 Concrete that has been exposed to chemicals shall be tested for soundness by the qualitative methods described in NACE Publication 6G191⁸ or Paragraph A1.4.3.

3.7 Contamination

3.7.1 Contamination on concrete surfaces includes all materials that may affect the adhesion and performance of the coating to be applied. Examples include, but are not limited to, dirt, oil, grease, chemicals, and existing incompatible coatings.

3.7.2 Contamination may be detected by methods described in NACE Publication 6G191⁸ and Paragraph A1.5. These methods include, but are not limited to, visual examination, water drop (contact angle) measurement, pH testing, petrographic examination, and various instrumental analytical methods. Core samp-

ling may be required to determine the depth to which the contaminant has penetrated the concrete.

3.7.3 Concrete surfaces that are contaminated or that have existing coatings shall be tested by the method described in Paragraph A1.6.3 to determine whether the contamination or existing coating affects the adhesion and performance of the coating to be applied. Concrete surfaces that have existing coatings shall also be tested by the method described in Paragraph A1.6.3 to determine whether the existing coating is sufficiently bonded to the concrete.

3.7.4 In extreme cases of concrete damage or degradation, or thorough penetration by contaminants, complete removal and replacement of the concrete may be required.

3.8 Moisture

Moisture levels in the concrete may be determined by the methods described in Paragraph 5.6.

Section 4: Surface Preparation

4.1 Objectives

4.1.1 The objective of surface preparation is to produce a concrete surface that is suitable for application and adhesion of the specified protective coating system.

4.1.2 Protrusions such as from burrs, sharp edges, fins, and concrete spatter shall be removed during surface preparation.

4.1.3 Voids and other defects that are at or near the surface shall be exposed during surface preparation.

4.1.4 All concrete that is not sound shall be removed so that only sound concrete remains.

4.1.5 Concrete damaged by exposure to chemicals shall be removed so that only sound concrete remains.

4.1.6 All contamination, form-release agents, efflorescence, curing compounds, and existing coatings determined to be incompatible with the coating to be applied shall be removed.

4.1.7 The surface preparation method, or combination of methods, should be chosen based on the condition of the concrete and the requirements of the coating system to be applied.

4.1.8 All prepared concrete surfaces shall be repaired to the level required by the coating system in the intended service condition.

4.2 Surface Cleaning Methods

4.2.1 The surface cleaning methods described in Paragraphs 4.2.2 and 4.2.3 shall not be used as the sole surface preparation method of concrete to be coated as they do not remove laitance or contaminants or alter the surface profile of concrete. These methods shall be used as required, before and/or after the mechanical and chemical methods described in Paragraphs 4.3 and 4.4.

4.2.2 Vacuum cleaning, air blast cleaning, and water cleaning as described in ASTM⁽⁴⁾ D 4258¹¹ may be used to remove dirt, loose material, and/or dust from concrete.

4.2.3 Detergent water cleaning and steam cleaning as described in ASTM D 4258¹¹ may be used to remove oils and grease from concrete.

4.3 Mechanical Surface Preparation Methods

4.3.1 Dry abrasive blasting, wet abrasive blasting, vacuum-assisted abrasive blasting, and centrifugal shot blasting, as described in ASTM D 4259,¹² may be used to remove contaminants, laitance, and weak concrete,

⁽⁴⁾ ASTM International, 100 Barr Harbor Dr., West Conshohocken, PA 19428-2959.

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to expose subsurface voids, and to produce a sound concrete surface with adequate profile and surface porosity.

4.3.2 High-pressure water cleaning or waterjetting methods as described in NACE No. 5/SSPC-SP 12,² ASTM D 4259,¹² or "Recommended Practices for the Use of Manually Operated High Pressure Water Jetting Equipment,"⁽⁵⁾¹³ may be used to remove contaminants, laitance, and weak concrete, to expose subsurface voids, and to produce a sound concrete surface with adequate profile and surface porosity.

4.3.3 Impact-tool methods may be used to remove existing coatings, laitance, and weak concrete. These methods include scarifying, planing, scabbling, and rotary peening, as described in ASTM D 4259.¹² Impact-tool methods may fracture concrete surfaces or cause microcracking and may need to be followed by one of the procedures in Paragraphs 4.3.1 or 4.3.2 to produce a sound concrete surface with adequate profile and surface porosity. The soundness of a concrete surface prepared using an impact method may be verified by one of the surface tensile strength tests described in Paragraph A1.6.

4.3.4 Power-tool methods, including circular grinding, sanding, and wire brushing as described in ASTM D 4259,¹² may be used to remove existing coatings, laitance, weak concrete, and protrusions in concrete. These methods may not produce the required surface profile and may require one of the procedures described in Paragraphs 4.3.1 or 4.3.2 to produce a concrete surface with adequate profile and surface porosity.

4.3.5 Surface preparation using the methods described in Paragraphs 4.3.1 through 4.3.4 shall be performed in a manner that provides a uniform, sound surface that is suitable for the specified protective coating system.

4.4 Chemical Surface Preparation

Acid etching, as described in ASTM D 4260¹⁴ and NACE Standard RP0892,¹⁵ may be used to remove laitance and weak concrete and to provide a surface profile on horizontal concrete surfaces. This method requires complete removal of all reaction products and pH testing to ensure neutralization of the acid. Acid etching is not recommended for vertical surfaces and areas where curing compounds or sealers have been used. Acid etching shall only be used where procedures for handling, containment, and disposal of the hazardous materials are in place. Acid etching with hydrochloric acid shall not be used where corrosion of metal in the concrete (rebar or metal fibers) is likely to occur.

4.5 Flame (Thermal) Cleaning and Blasting

4.5.1 Flame cleaning using a propane torch or other heat source may be used to extract organic contaminants from a concrete surface. To remove the extracted contaminants this type of cleaning may need to be followed by the cleaning methods described in ASTM D 4258.¹¹

4.5.2 Flame cleaning and blasting using oxygen-acetylene flame blasting methods and proprietary delivery equipment may be used to remove existing coatings, contaminants, and laitance and/or create a surface profile on sound concrete.

4.5.3 The extent of removal when employing flame methods is affected by the rate of equipment advancement, the flame adjustment, and the distance between the flame and the concrete surface. Surface preparation using flame methods shall be performed in a manner that provides a uniform, sound surface that is suitable for the specified protective coating system.

4.5.4 High temperatures reduce the strength of or damage concrete; therefore, surfaces prepared using flame methods shall be tested for soundness and surface tensile strength. Concrete surfaces found to be unsound or low in tensile strength shall be repaired or prepared by other mechanical methods described in Paragraph 4.3.

4.6 Surface Cleanliness

After the concrete surface has been prepared to the required soundness and surface profile, surfaces may still need to be cleaned by one of the methods described in Paragraph 4.2 to remove the residue created by the surface preparation method or to remove spent media.

4.7 Moisture Content

If the moisture level in the concrete is higher than the specified limit tolerable by the coating, the concrete shall be dried or allowed to dry to the level specified in the procurement documents before inspection and application of the coating (see Paragraph 5.6).

4.8 Patching and Repairs

4.8.1 Prior to proceeding with patching and repairs, the prepared concrete surface shall be inspected according to Section 5. After the patching and repairs of the concrete surface are completed, the repaired areas shall be reinspected according to Section 5.

4.8.2 All gouges, surface air voids, and other surface anomalies shall be repaired to a level required by the coating system as specified in the procurement documents.

⁽⁵⁾ WaterJet Technology Association, 917 Locust, Suite 1100, St. Louis, MO 63101-1419.

4.8.3 All repair materials, both cementitious and polymeric, should be approved or recommended by the coating manufacturer as being compatible with the coating to be applied. Repair materials not recommended or approved by the coating manufacturer shall be tested for compatibility prior to their application.

4.8.4 The repair material shall be cured according to the manufacturer's published instructions.

4.8.5 The repaired section may require additional surface preparation prior to coating application.

Section 5: Inspection and Classification of Prepared Concrete Surfaces

5.1 Surface Tensile Strength

5.1.1 All prepared concrete surfaces should be tested for surface tensile strength after cleaning and drying but prior to making repairs or applying the coating.

5.1.2 Surface tensile strength should be tested using a method agreed upon by all parties. (See Paragraph A1.6 for commentary on these methods.)

5.2 Coating Adhesion

5.2.1 If specified in the procurement documents and accepted by all parties, a test patch shall be applied to determine the compatibility of and adhesion between the prepared surface and the coating system. (See Paragraph A1.6.3 for commentary on this method.)

5.2.2 Coating adhesion should be tested using one of the methods agreed upon by all parties. (See Paragraph A1.6 for commentary on these methods.)

5.3 Surface Profile

5.3.1 If a specific surface profile is required for the performance of the coating system to be applied, the profile shall be specified in the procurement documents.

5.3.2 The surface profile of prepared concrete surfaces should be evaluated after cleaning and drying but prior to repairs or application of the coating.

5.3.3 The surface profile may be evaluated by comparing the profile of the prepared concrete surface with the profile of graded abrasive paper, as described in ANSI⁽⁶⁾ B 74.18,¹⁶ by comparing the profile with the ICRI Guideline No. 03732¹⁷ (surface profile chips), or by another agreed-upon visual comparison.

5.4 Surface Cleanliness

5.4.1 All prepared concrete surfaces shall be inspected for surface cleanliness after cleaning and drying but prior to making repairs or applying the coating. If the concrete surfaces are repaired, they shall be reinspected for surface cleanliness prior to applying the coating.

5.4.2 Prepared concrete surfaces may be inspected for surface cleanliness by lightly rubbing the surface with a dark cloth or pressing a translucent adhesive tape on the surface. The test method and acceptable level of residual dust shall be agreed on by all parties.

5.4.3 The method used to verify compatibility of the coating to be applied over a contaminated surface or over contaminated surfaces that have been cleaned and prepared should be approved by the coating manufacturer and specified in the procurement documents.

5.5 pH

5.5.1 If a specific pH range is required for proper performance of the coating system to be applied, the pH of the concrete shall be specified in the procurement documents.

5.5.2 The pH of concrete surfaces prepared by acid etching should be tested after etching and rinsing but before the prepared surface has dried.

5.5.3 ASTM D 4262¹⁸ should be used to determine pH.

5.6 Moisture Content

5.6.1 If a specific moisture content is required for proper performance of the coating system to be applied, the moisture content of the concrete shall be specified in the procurement documents.

5.6.2 Prepared concrete surfaces should be tested for residual moisture after cleaning and drying but prior to the application of the coating.

5.6.3 ASTM D 4263,¹⁹ ASTM F 1869,²⁰ or ASTM F 2170²¹ should be used to determine the residual moisture content in concrete.

5.6.4 If required or accepted by all parties, any of the methods described in Paragraph A1.8.4 may be used to determine the moisture content of the concrete surface.

⁽⁶⁾ American National Standards Institute (ANSI), 1819 L Street NW, Washington, DC 20036.

Section 6: Acceptance Criteria

6.1 The acceptance criteria for prepared concrete surfaces shall be specified in the procurement documents.

6.2 The procurement documents may refer to the specifications in Table 1.

**Table 1:
Suggested Acceptance Criteria for Concrete Surfaces After Surface Preparation**

Property	Test Method	Light Service ^(A)	Severe Service ^(B)
Surface tensile strength	See Paragraph A1.6	1.4 MPa (200 psi) min.	2.1 MPa (300 psi) min.
Surface profile	Visual comparison ¹⁶	Fine (150) abrasive paper min.	Coarse (60) abrasive paper min.
Surface cleanliness	Visible dust ¹¹	No significant dust	No significant dust
Residual contaminants	Water drop ^{15,22}	0° contact angle	0° contact angle
pH	ASTM D 4262 ¹⁸	(pH of rinse water) -1, +2 ^(C)	(pH of rinse water) -1, +2 ^(C)
Moisture content ^(D)	ASTM D 4263 ¹⁹	No visible moisture	No visible moisture
Moisture content ^(D)	ASTM F 1869 ²⁰	15 g/24 hr/m ² (3 lb/24 hr/1,000 ft ²) max.	15 g/24 hr/m ² (3 lb/24 hr/1,000 ft ²) max.
Moisture content ^(D)	ASTM F 2170 ²¹	80% max.	80% max.

^(A) Light service refers to surfaces and coatings that have minimal exposure to traffic, chemicals, and changes in temperature.

^(B) Severe service refers to surfaces and coatings that have significant exposure to traffic, chemicals, and/or changes in temperature.

^(C) The acceptance criterion for ASTM D 4262 is as follows: The pH readings following the final rinse shall not be more than 1.0 lower or 2.0 higher than the pH of the rinse water (tested at the beginning and end of the final rinse cycle) unless otherwise specified.

^(D) Any one of these three moisture content test methods is acceptable.

Section 7: Safety and Environmental Requirements

7.1 Disposal of contaminants, old coatings, acid from etching, and contaminated water and blasting media shall comply with all applicable facility, local, state, and federal regulations.

7.2 Handling of hazardous materials, machinery operations, worker protection, and control of airborne dust and fumes shall comply with all applicable facility, local, state, and federal health and safety regulations.

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2. SSPC-Guide 11 (latest revision), "Guide for Coating Concrete" (Pittsburgh, PA: SSPC).
3. NACE No. 5/SSPC-SP 12, "Surface Preparation and Cleaning of Metals by Waterjetting Prior to Recoating" (Houston, TX: NACE, and Pittsburgh, PA: SSPC).
4. ACI 308 (latest revision), "Standard Practice for Curing Concrete" (Farmington Hills, MI: ACI).
5. NACE Standard RP0390 (latest revision), "Maintenance and Rehabilitation Considerations for Corrosion Control of Existing Steel-Reinforced Concrete Structures" (Houston, TX: NACE).
6. ICRI Guideline No. 03730 (latest revision), "Guide for Surface Preparation for the Repair of Deteriorated Concrete Resulting from Reinforcing Steel Corrosion" (Des Plaines, IL: ICRI).
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8. NACE Publication 6G191 (withdrawn), "Surface Preparation of Contaminated Concrete for Corrosion Control" (Houston, TX: NACE). (Available from NACE as an historical document only).
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11. ASTM D 4258 (latest revision), "Standard Practice for Surface Cleaning Concrete for Coating" (West Conshohocken, PA: ASTM).
12. ASTM D 4259 (latest revision), "Standard Practice for Abrading Concrete" (West Conshohocken, PA: ASTM).
13. "Recommended Practices for the Use of Manually Operated High-Pressure Water Jetting Equipment" (latest revision) (St. Louis, MO: WaterJet Technology Association).
14. ASTM D 4260 (latest revision), "Standard Practice for Acid Etching Concrete" (West Conshohocken, PA: ASTM).
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17. ICRI Guideline No. 03732 (latest revision), "Selecting and Specifying Concrete Surface Preparation for Sealers, Coatings, and Polymer Overlays" (Des Plaines, IL: ICRI).
18. ASTM D 4262 (latest revision), "Standard Test Method for pH of Chemically Cleaned or Etched Concrete Surfaces" (West Conshohocken, PA: ASTM).
19. ASTM D 4263 (latest revision), "Standard Test Method for Indicating Moisture in Concrete by the Plastic Sheet Method" (West Conshohocken, PA: ASTM).
20. ASTM F 1869 (latest revision), "Standard Test Method for Measuring Moisture Vapor Emission Rate of Concrete Subfloor Using Anhydrous Calcium Chloride" (West Conshohocken, PA: ASTM).
21. ASTM F 2170 (latest revision), "Standard Test Method for Determining Relative Humidity in Concrete Floor Slabs Using In Situ Probes" (West Conshohocken, PA: ASTM).
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Appendix A: Comments (This section does not contain any mandatory requirements.)

A1.1 General^{23,24,25,26}

A1.1.1 This standard does not recommend surface preparation methods or differentiate levels of surface preparation that are specifically required for various protective system designs, types, thicknesses, and end-use requirements. These specifications should be decided and agreed upon by all parties (the specifier, facility owner, coating manufacturer, and contractor).

A1.1.2 Concrete and its surfaces are not homogeneous or consistent and, unlike steel, cannot be discretely defined. Therefore, visual examination of a concrete surface is somewhat subjective. The acceptance or rejection of a prepared concrete surface should be based on the results of specific tests, including, but not limited to, tests for surface tensile strength, contamination, and moisture.

A1.1.3 Joints, cracks, and curing shrinkage of concrete should be considered in the design of the protective coating system; however, these topics are beyond the scope of this standard. See NACE Standard RP0892,¹⁵ ACI 515.1R,⁹ and NACE 6G197/SSPC-TU 2²⁷ for more information.

A1.1.4 When a significant amount of weak, deteriorated, or contaminated concrete is removed during the course of surface preparation to achieve a sound surface, the profile of the remaining concrete is often too rough for the intended coating system. In these cases, and where form voids and surface air voids must be

filled, patching or grouting materials are specified to repair or level the concrete surface. See NACE Standard RP0892,¹⁵ ACI 515.1R,⁹ NACE Standard RP0390,⁵ NACE 6G197/SSPC-TU 2,²⁷ and Paragraph A1.4.4 for more information about patching materials.

A1.2 Concrete Finishing and Surface Characteristics²³

A1.2.1 The method used to finish concrete surfaces affects the concrete's surface profile, composition, porosity, and density. These surface properties affect the adhesion and performance of concrete coatings. Typical surface properties obtained using the most common finishing methods are given in Table A1. These properties are evaluated prior to surface preparation.

A1.2.2 No preferred method of finishing concrete to accept coatings has been established by the concrete coating industry. The surface cure, surface preparation method, and type of coating system to be applied are all factors in determining the suitability of any specific concrete finishing method. For example, broom finishing is sometimes used because it gives a profile for the coating; however, most of the profile may be removed during surface preparation if the surface is not properly cured, negating this inherent advantage of the broom finish. When sacking is used to fill voids in formed concrete surfaces, subsurface voids are created, and the added cement is usually removed during surface preparation due to improper cure of the added cement paste.

**Table A1:
Typical Surface Properties of Finished Concrete**

Method	Profile ^(A)	Porosity ^(A)	Strength ^(A)	Problems
Formed concrete	Smooth to medium	Low to medium	Medium	Voids, protrusions, release agents
Wood float	Medium	Medium	Medium	
Metal trowel	Smooth	Low	High	
Power trowel	Smooth	Very low	High	Very dense
Broom finish	Coarse to very coarse	Medium	Medium	
Sacking	Smooth	Low to medium	Low to high ^(B)	Weak layer if not properly cured
Stoning	Smooth to medium	Low to medium	Low to high ^(B)	Weak layer if not properly cured
Concrete block	Coarse to very coarse	Very high	Medium	Pinholes
Shotcrete ^(C)	Very coarse	Medium	Medium	Too rough for thin coatings

^(A) These surface properties are based on similar concrete mix, placement, and vibration and prior to surface preparation.

^(B) Strength depends on application and cure.

^(C) Shotcrete may be refinished after placement, which would change the surface properties given in this table.

A1.2.3 Use of a metal trowel is gaining acceptance as the preferred finishing method for horizontal surfaces to be coated, provided the surface is not excessively trowelled, the concrete is cured properly, and the laitance is removed prior to coating.

A1.2.4 Photographic examples of concrete finishes are shown in ASTM PCN:03-401079-14.²⁸

A1.3 Concrete Cure²⁹

A1.3.1 Maintaining sufficient moisture and proper temperature in concrete in the early stages of cure is important to ensure development of the designed strength. Keeping the surface moist until sufficient strength has developed at the surface is important to ensure formation of sufficient surface strength, to reduce curling, and to reduce surface cracking.

A1.3.2 ACI 308⁴ recommends seven days of moist curing for Type I portland cement concrete and three days for Type III portland cement concrete, if the temperature is above 10°C (50°F). ACI 308 also recommends numerous methods to properly cure concrete, including the use of sealing materials and other methods to keep concrete moist.

A1.3.3 ACI 308⁴ also gives recommendations on the use of curing compounds, which are commonly used immediately after placement and finishing of concrete surfaces to reduce moisture loss and improve surface cure. The curing compound should either be compatible with the coating or be removed during surface preparation.

A1.4 Identification and Repair of Surface Defects and Damage³⁰

A1.4.1 Physical and Chemical Damage

A1.4.1.1 Existing concrete structures that have been subjected to mechanical damage (caused by impact or abrasion), chemical attack, or rebar corrosion are restored to provide a uniform, sound substrate prior to coating application.

A1.4.1.2 In order to best receive and hold the patching material all deteriorated concrete should be removed and the surrounding sound concrete cut using the procedures described in ICRI 03730.⁶ Some contaminants have a detrimental effect on the rebar or the applied coating if they are not completely removed.

A1.4.1.3 A number of polymeric grouts and patching materials can be used, especially when the coating is to be applied immediately. These materials should be compatible with the coating to be applied.

A1.4.2 Other Defects and Imperfections

A1.4.2.1 Defects such as honeycombs, scaling, and spalling do not provide a sound, uniform substrate for the coating. These defects are repaired by removing all unsound concrete and then patching the concrete prior to surface preparation. NACE Standard RP0390⁵ and ICRI 03730⁶ describe removal and repair procedures for concrete

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that is spalled because of rebar corrosion.

A1.4.2.2 Surface air voids, pinholes, or excessive porosity may affect the application or performance of the coating. The maximum substrate void size or surface porosity that can be tolerated depends on the coating system under consideration. If voids are not filled before the coating is applied, the trapped air vapor expands and contracts and may affect the performance of the coating. For liquid-rich coatings, excess porosity at the surface may result in pinholes in the coating. Voids are usually filled after surface preparation and prior to coating application.

A1.4.2.3 Protrusions such as form lines, fins, sharp edges, and spatter may cause holidays or thin sections in the coating if they are not removed. Protrusions and rough edges are usually removed during surface preparation.

A1.4.3 Testing for Surface Soundness

A1.4.3.1 NACE Publication 6G191⁸ describes the following commonly used methods for determining surface soundness:

A screwdriver, file, or pocket knife is lightly scratched across the concrete surface. If the metal object rides over the surface without loosening any particles and leaves no more than a shiny mark, the surface is sound. If this process gouges the surface, the surface is not sound.

The concrete surface is lightly struck with the edge of a hammer head. If the hammer rebounds sharply with no more than a small fracture at the impact area, the surface is sound. If it lands with a dull thud and leaves powdered dusts in the indentation, the surface is not sound.

A chain is dragged across horizontal concrete surfaces. Differences in sound indicate unsound concrete and holes or pockets within the concrete.

A1.4.4 Patching of Concrete Surface Imperfections

A1.4.4.1 Materials such as grouts, putties, and sealers are used to repair, patch, smooth, or seal the concrete surface to provide a substrate that is suitable for the coating system to be applied. These materials are applied after surface preparation and require the following characteristics:

- (1) good adhesion;
- (2) adequate strength;
- (3) low volumetric and linear shrinkage;

(4) compatibility with the coating to be applied; and

(5) proper consistency for the application.

In addition, the patching material is often required to cure sufficiently, be traffic bearing, and be ready to recoat in a short time frame (usually within 24 hours).

A1.4.4.2 Shrinkage of the patching material may reduce the adhesion of that material to the concrete substrate. Differences in thermal expansion between the concrete, patching material, and coating system cause stresses during thermally induced movement that may reduce adhesion between these layers.

A1.4.4.3 The most common types of patching materials are cementitious, polymer-modified cementitious (usually acrylic), and polymeric (usually epoxy). Cementitious materials are lower in cost than polymeric materials, but polymeric materials generally cure faster and have higher strengths, better adhesion, and increased chemical resistance.

A1.4.4.4 Patching materials are available in a range of consistencies for application to vertical or horizontal surfaces by a variety of methods. The amount of filler also varies. For example, grouts for deep patching are typically highly filled, while porosity sealers may be minimally filled or unfilled. Numerous proprietary materials are low-shrinking, nonshrinking, or expanding.

A1.4.4.5 Additional surface preparation may need to be performed on cured patching materials to ensure that the laitance is removed and/or that the patched surface meets the profile requirements of the coating system.

A1.4.4.6 Photographic examples of patched concrete surfaces are shown in ASTM PCN:03-401079-14.³¹

A1.5 Identification and Removal of Contaminants^{22,32,33,34}

A1.5.1 Hydrophobic Materials

A1.5.1.1 Hydrophobic materials such as form-release agents, curing compounds, sealers, existing coatings, oil, wax, grease, resins, and silicone may be detected by a simple water drop test. Analytical techniques such as infrared analysis or gas chromatography may also be used to detect and identify these contaminants.

A1.5.1.2 Oils and greases can be removed by steam cleaning, flame blasting, baking soda blasting, or using degreasers and absorbents.

A1.5.1.3 If they are incompatible with the coating to be applied, existing curing compounds, sealers, form-release agents, and coatings should be removed by the least destructive, most practical, economical, and safe method that is successful. Methods such as grinding, abrasive blasting, wet abrasive blasting, waterjetting, scarifying, flame blasting, or paint stripping may be used.

A1.5.2 Salts and Reactive Materials

A1.5.2.1 Salts and reactive materials such as laitance, efflorescence, acids, alkalis, and by-products of chemical attack of concrete can sometimes be detected by pH testing, soundness testing using the screwdriver test, or visual examination (see PCA IS214).³⁵ When these methods are not successful, chemical analysis techniques are required.

A1.5.2.2 Residual acids and alkalis are first neutralized and then removed by high-pressure water cleaning. Salts and efflorescence can be removed by abrasive blasting, high-pressure water cleaning, or applying a weak acid or alkali solution and then high-pressure water cleaning.

A1.5.3 Microorganisms

A1.5.3.1 Microorganisms such as fungus, moss, mildew, algae, decomposing foods, and other organic growths can sometimes be detected by visual examination (see PCA IS214).³⁵

A1.5.3.2 Microorganisms are removed by washing with sodium hypochlorite (household bleach) and rinsing with water. High-pressure water cleaning or abrasive blasting may also be used.

A1.6 Adhesion Testing³⁶

The two commonly used methods for testing adhesion of coatings to concrete substrates are ASTM D 4541³⁷ (modified for concrete substrates as discussed in Paragraph A1.6.1) and ACI 503R.³⁸ Testing for surface tensile strength consists of scoring (core drilling) the concrete surface, bonding a test fixture with an adhesive, pulling the fixture with an adhesion tester, and noting the pull-off strength or adhesion value. Testing for coating adhesion is performed using the same procedure, noting the adhesion value, and noting the adhesion failure mode (see Paragraph A1.6.4).

A1.6.1 The procedure described in ASTM D 4541³⁷ may be used to determine pull-off strength or coating adhesion strength using a portable adhesion tester, typically either a manual tester with a 20-mm (0.78-in.)-diameter loading fixture (test dolly) or a pneumatic adhesion tester with a 13-mm (0.5-in.) loading fixture. ASTM D 4541 states that "Scoring around the fixture violates the fundamental in situ criterion that an unaltered coating be tested," but it also states that scoring

should be noted in the results when employed.³⁷ The procedure in ASTM D 4541 should be modified for use on concrete substrates by scoring or core drilling prior to attaching the loading fixture. Scoring around the test fixture ensures that the pulling force is applied only to the area directly beneath the fixture. Without scoring, stress is transferred through the coating film beyond the area of the test fixture. This could result in significant error when testing thick or reinforced coatings. A water-lubricated diamond-tipped core bit should be used for scoring to reduce the possibility of microcracks in either the coating or the concrete substrate. The procedure may also be modified by using a larger (5-cm [2-in.] or more) loading fixture. A larger test fixture typically yields more accurate results than a smaller fixture because the greater surface area reduces the effect of inconsistencies, such as a piece of aggregate or a void, in the substrate.

A1.6.2 ACI 503R³⁸ discusses the process of applying a coating or adhesive coring to the substrate, bonding a 5-cm (2-in.) pipe cap to the coating, and applying tension with a mechanical testing device attached to a dynamometer. As with ASTM D 4541,³⁷ the tensile load and mode of failure are noted.

A1.6.3 A test patch involves applying the coating system to a small section (with the minimum size to be specified) of prepared concrete and testing for tensile strength and adhesion by either of the methods described in Paragraphs A1.6.1 and A1.6.2. The prepared concrete substrate—at least the portion to be patched—should meet the acceptance criteria as detailed in Section 6. The coating system should be applied in accordance with the coating manufacturer's published instructions. The last coat of the coating system serves as the adhesive for the loading fixture, or, when this is not recommended (e.g., for solvent-based topcoats), the loading fixture is attached to the coating system by an adhesive. If agreed by all parties, the primer alone may suffice as the test patch and the adhesive for the loading fixture.

A1.6.4 The acceptable adhesion strength and mode of failure may vary depending on the type of coating tested. The coating manufacturer should be consulted to determine the preferred test method, the suitability of that method, and acceptance criteria for the specified coating. When adhesion testing is performed, the mode of failure should be noted. The failure can be described using one or more of the following terms.

(1) Concrete (substrate) cohesive failure: This failure mode is defined as failure within the concrete, below the concrete/coating interface. This result, if the adhesion value is sufficient, is considered to be the most desirable for coatings applied to concrete. If concrete cohesive failure occurs but the adhesion value is low, the failure may be because of low concrete strength or microcracking from scoring. If only a thin layer of concrete is pulled with the fixture and the adhesion value is

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low, it may be because of a weak concrete surface layer or laitance.

(2) Coating adhesive failure: This failure mode is defined as failure directly at the concrete/coating interface. For most coating systems, failure in this mode indicates a problem with surface preparation, residual contamination, or the coating.

(3) Coating cohesive failure or coating intercoat adhesion failure: This failure mode is defined as failure within the coating system, above the concrete/coating interface. This mode of failure indicates a problem with the coating material or with the coating application.

(4) Fixture adhesive failure: This failure mode is defined as failure within the fixture adhesive or at the fixture adhesive/coating interface. When this failure mode is encountered, the test should be repeated.

A1.7 Surface Profile

A1.7.1 In addition to removing laitance, weak concrete, and contamination at the concrete surface, surface preparation usually opens the pores and/or creates a profile on the concrete surface. Profile increases the surface area available for bonding between the concrete and the coating, enhances adhesion at the concrete/coating interface, and helps the coating resist peeling and shear forces.

A1.7.2 The depth of surface profile required depends on:

- (1) tensile and shear strength of the concrete and the coating system;
- (2) adhesion of the coating system to the concrete;
- (3) internal stresses in the coating system created during application (e.g., from shrinkage);
- (4) difference in the coefficient of thermal expansion between the coating and the concrete;
- (5) modulus or stress-relaxation properties of the coating system;
- (6) thermal and chemical exposure environment; and
- (7) coating thickness.

A1.7.3 At this time, no recognized testing equipment or method is used to quantify the surface profile of concrete that is analogous to the replica tape method used on steel. The profile can be subjectively compared to the standard classification for coated abrasive paper as described in ANSI B74.18,¹⁶ or by comparing the profile with the ICRI Guideline No. 03732¹⁷ (surface profile chips). For extremely coarse prepared concrete surfaces (assuming that the coating system can cover and

perform over such a substrate), the profile may be estimated as an average distance between peaks and valleys on the concrete surface and quantified in mm (mils).

A1.8 Moisture in Concrete^{39,40,41,42}

A1.8.1 The movement of moisture in concrete during the curing process and after application of the coating is important to consider in the design of the concrete structure. Concrete is normally placed with water levels in excess of that required to completely hydrate the cement. Excess free water in the concrete can adversely affect the application and cure of many coatings. Pressure caused by excess moisture in the concrete or from ground water may be substantial and, in some instances, may be sufficient to disbond barrier coating systems that appear to be well bonded. These pressures are commonly referred to as hydrostatic, capillary, and osmotic pressures.

A1.8.2 Concrete has traditionally been coated no sooner than 28 days after concrete placement (see Paragraph A1.10). In addition to allowing the concrete to sufficiently cure (see Paragraph A1.3), this waiting period allows excess moisture to evaporate prior to applying a barrier coating system. The waiting period is especially important if a vapor barrier (or positive-side waterproofing) is installed, which prevents moisture from exiting into the ground.

A1.8.3 The drying rate of concrete is a function of the concrete temperature, thickness, porosity, and initial free-water content. The drying rate is also a function of the velocity and dew point of the drying air. Excess free water can be removed by dehumidifiers, surface air movers, or surface heaters provided that (1) the forced drying does not begin until sufficient concrete strength is developed and (2) it does not adversely affect the concrete properties. Dehumidifiers lower the air dew point, can increase the air temperature, and perform best when the area is enclosed. Surface air movers direct low-dew point air across the concrete surface at high velocities, but they should be periodically repositioned to ensure uniform drying over the entire surface. Surface heaters increase the mobility of free water; they work best if the heat penetrates the concrete and if they do not raise the dew point of the drying air.

A1.8.4 Moisture Test Methods^{40,41}

The following are some of the common methods used to identify or quantify the free moisture in concrete prior to the application of coatings.

ASTM D 4263, Plastic sheet method¹⁹

ASTM F 1869, Calcium chloride test²⁰

ASTM F 2170, Relative humidity test²¹

ASTM E 1907, Conductivity test⁴³

ASTM E 1907, Calcium carbide method⁴³

ASTM E 1907, Capacitance-impedance method⁴³

A1.8.5 Use and Interpretation of Moisture Test Methods

A1.8.5.1 The plastic sheet method¹⁹ and the calcium chloride test are commonly used and accepted in the United States. The hygrometer and conductivity tests are cited in numerous British standards and are accepted in the United Kingdom, while the carbide method is accepted in other parts of Europe.

A1.8.5.2 All of these methods are quantitative except the plastic sheet method.¹⁹ The plastic sheet, calcium chloride, and capacitance-impedance methods are nondestructive, while the hygrometer, conductivity, and calcium carbide methods involve drilling into the concrete.

A1.8.5.3 Testing duration is 16+ hours for the plastic sheet method¹⁹ and 72 hours for the calcium chloride and relative humidity tests. The other methods give results immediately if the testing equipment has been calibrated.

A1.8.5.4 The plastic sheet method may indicate whether excess moisture is present at the time of the test. However, because the method depends on a moisture differential—a higher relative humidity in the concrete than in the air above the concrete surface—during the test span, potential problems are not always evident at the time the test is performed.

A1.8.5.5 Information on the tolerance of a specific coating system for free water or moisture migration should be provided by the coating manufacturer. A free water content of less than 5% by weight is acceptable for most coatings. Alternatively, concrete with a relative humidity of less than 80% or a moisture transmission rate of less than 15 g/24 hr/m² (3 lb/24 hr/1,000 ft²) has proved acceptable for most coatings.

A1.8.5.6. Occasionally, despite moisture testing, a problem is not identified until after a low-permeability coating is applied.

A1.9 Surface Preparation Methods^{17,32,44,45,46}

The surface preparation methods described in this standard are listed in Table A2 with their intended use, profile cre-

ated, typical problems encountered when using each method, and solutions to those problems.

A1.9.1 Photographic examples of prepared concrete surfaces are shown in ASTM PCN:03-401079-14.⁴⁷

A1.10 The 28-Day Waiting Period^{48,49}

A1.10.1 The traditional 28-day waiting period after concrete placement and prior to coating installation is a controversial topic that involves all parties. Although the waiting period is not usually required for surface preparation, it affects the timing of surface preparation because many coatings are applied within 24 hours after surface preparation.

A1.10.2 The 28-day waiting period originated from the structural benchmark to test concrete strength at 28 days after placement to verify that the tested strength met the design strength. The 28-day benchmark became the industry standard to identify the point in time when the concrete was considered fully cured. The 28-day waiting period was adopted by the coating industry because it usually allows sufficient time for concrete surface strength to develop and for excess moisture to evaporate.

A1.10.3 Many factors can reduce or increase the time required for strength and moisture levels to be acceptable. In addition, many construction schedules do not allow for a 28-day waiting period. For these reasons, quantifying surface requirements as in Paragraph A1.12 are preferred over the traditional 28-day waiting period.

A1.10.4 NACE Standard RP0892¹⁵ and ACI 515.1R⁹ do not recommend a specific cure period but do address surface dryness, surface strength requirements, and other surface quality issues.

A1.11 Temperature Considerations

The temperature of the surface at the time of the coating application and the temperature progression during the application are both important. Rising concrete temperatures during the application of the coating systems may cause blistering and pinhole problems in the coating caused by out-gassing from the concrete. Coating application during periods of falling temperatures may be required to prevent this problem. Although controlling the ambient temperature in outdoor installations is difficult, concrete is often shaded from direct sunlight during coating application. In addition to potential problems from moisture in the concrete as described in Paragraphs A1.8.1 and A1.8.2, monitoring the dew point during periods of changing weather is often recommended to ensure that coatings are not applied over moisture that has condensed on the concrete surface.

**Table A2:
Surface Preparation Methods**

Preparation Method	When Used	Profile Created ^(A)	Problems	Solutions
Dry abrasive blasting	Removal, profile, cleaning	Fine (150) to extra coarse (40)	-Dust on surface -Airborne dust -Noise	-Vacuum cleaning -Vacuum attachments -None
Wet abrasive blasting	Removal, profile, cleaning	Fine (150) to extra coarse (40)	-Wets concrete -Creates sludge	-Let concrete dry -Cleaning
High-pressure water cleaning	Removal, cleaning	Fine (150) to extra coarse (40)	-Wets concrete -Creates sludge	-Let concrete dry -Cleaning
Waterjetting (with or without abrasive)	Removal	Rougher than extra coarse	-Creates sludge -Wets concrete -Coarse profile	-Cleaning -Let concrete dry -None ^(B)
Impact tools	Removal, profile, cleaning	Rougher than extra coarse	-Airborne dust -Fracturing -Coarse profile	-Vacuum attachments -Other methods -None ^(B)
Power tools	Removal	Smooth (no grit equivalent)	-Airborne dust -Fine profile	-Vacuum attachments -Other methods
Flame blasting	Removal, profile, cleaning	Rougher than extra coarse	-Excess removal -Damages concrete	-Experience ^(B) -Remove damaged concrete
Acid etching	Profile, cleaning	Fine (150) to coarse (60)	-Hazardous -Not for vertical or overhead surfaces -Neutralization -Wets concrete -Curing membrane	-Other acids -Other methods -pH testing -Let concrete dry -Other methods

^(A) Profile is described using graded abrasive paper sizes. These are typical surface profile values only. Results may vary significantly because of concrete properties and surface preparation practices.

^(B) For coating systems that do not perform over a coarse profile, refinishing the concrete or an underlayment may be required.

A1.12 Recommendations for Procurement Documents (Project Specifications) for Concrete Surface Preparation

Because of the wide range of concrete types, existing concrete conditions, ambient conditions, types of protective coatings to be applied, and project scheduling, producing a comprehensive standard that can be used as a project specification is not possible. Therefore, the following is a checklist of items that should be included in a comprehensive procurement document.

A1.12.1 NACE No. 6/SSPC-SP 13

A1.12.2 Contaminants

A1.12.2.1 Types anticipated

A1.12.2.2 Detection methods

A1.12.2.3 Preferred removal method

A1.12.2.4 Other acceptable removal methods

A1.12.3 Surface Preparation

A1.12.3.1 Preferred method

A1.12.3.2 Other acceptable methods

A1.12.4 Surface Tensile Strength

A1.12.4.1 Minimum allowable

A1.12.4.2 Test method and mode of failure

A1.12.5 Surface Profile

A1.12.5.1 Minimum and maximum allowable

A1.12.5.2 Test method or visual comparison

A1.12.6 Surface Uniformity

A1.12.6.1 Maximum allowable void size

A1.12.7 Repairs and Patching

A1.12.7.1 Preferred materials

A1.12.7.2 Other acceptable materials

A1.12.8 Cleanliness

A1.12.8.1 Maximum allowable residual dust level

A1.12.8.2 Test method or visual comparison

A1.12.9 Moisture Content

A1.12.9.1 Maximum allowable

A1.12.9.2 Test method and when to test (e.g., before or after surface preparation, or immediately before coating)

A1.12.10 Surface Flatness and Levelness

A1.12.10.1 Minimum and maximum slope allowed

A1.12.10.2 Minimum flatness allowed

A1.12.10.3 Test method or visual comparison